

STATE OF NEW MEXICO
HUMAN SERVICES DEPARTMENT
PROFESSIONAL SERVICES CONTRACT
CONTRACT AMENDMENT NO. 7

This Contract Amendment No. 7 (“Amendment No. 7”) to Contract No. 09-630-7903-0063 is made and entered into by and between the State of New Mexico Interagency Behavioral Health Purchasing Collaborative (hereinafter referred to as the “Collaborative”) and United HealthCare Insurance Company and United Behavioral Health, through their joint venture OptumHealth New Mexico, the Statewide Entity (“SE”), (hereinafter referred to as “SE” or “Contractor”).

WITNESSETH:

WHEREAS, the Collaborative and the Contractor previously entered into Contract No. 09-630-7903-0063 providing for services to be provided to the Collaborative by the Contractor; and

WHEREAS, the Contractor and member agencies, Human Services Department and Children, Youth and Families Department, desire to make budget adjustments to Attachment xxx – FY 2011 Funding Table at November 15, 2010; and

WHEREAS, the Collaborative and the Contractor agreed upon revisions to Attachment xxx – FY 2011 Funding Table at November 15, 2010 to include a revised ratio of substance abuse to mental health funds for HSD; a revised ratio of direct to administrative costs for certain CYFD and HSD programs; revisions to Access to Recovery (ATR) funds; and other correcting revisions as detailed in the Attachment; and

WHEREAS, Attachment xxx – FY 2011 Funding Table at November 15, 2010 will be amended to reflect the aforementioned revisions;

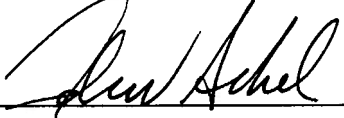
NOW, THEREFORE, IT IS MUTUALLY AGREED BETWEEN THE PARTIES THAT THE FOLLOWING PROVISIONS OF THE ABOVE-REFERENCED CONTRACT NO. 09-630-7903-0063 (hereinafter referred to as the “Contract”) IS HEREBY AMENDED AS FOLLOWS:

Appendix xxx , FY 2011 Funding Table dated November 15, 2010, and referenced in Article 6 of the Contract is replaced with Appendix xxx, FY 2011 Funding Table dated December 5, 2010, on behalf of the member agencies, the Human Services Department, and the Children, Youth, and Families Department, and is attached and incorporated by reference in its entirety into Amendment 7 and the Contract. The revisions to the amended Appendix xxx appear in bold text in the Appendix.

All other articles, provisions and terms of the Contract shall remain unchanged.

IN WITNESS WHEREOF, the parties have executed this Amendment No. 7 as of the date of the last signature of the Executive Committee of the NM Interagency Behavioral Health Purchasing Collaborative. The Executive Committee executes this Contract only to the extent of their statutory authority as members of the Collaborative and the Executive Committee.

STATEWIDE ENTITY

By: 

Date: 12/20/10

Title: _____

STATE OF NEW MEXICO

Approved as to Form and Legal sufficiency:

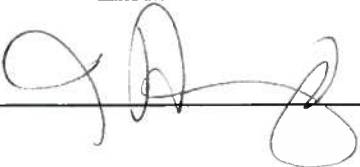
By: 
Counsel for the Collaborative

Date: 12-29-10

The records of the Taxation and Revenue Department reflect that the Contractor is registered with the Taxation and Revenue Department of the State of New Mexico to pay gross Receipts and compensating taxes.


TAXATION AND REVENUE DEPARTMENT

ID Number: 03-140568-001

By: 

Date: 12/30/10

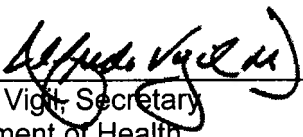
**EXECUTIVE COMMITTEE, NEW MEXICO
BEHAVIORAL HEALTH PURCHASING
COLLABORATIVE**



Bill Dunbar, Acting Secretary
Children, Youth and Families Department

12-30-10

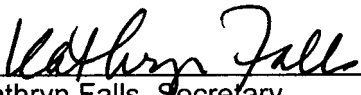
Date



Alfredo Vigil, Secretary
Department of Health

12-30-2010

Date



Kathryn Falls, Secretary
Human Services Department

12/29/10

Date

APPENDIX xxx
FY 2011 Funding Table at December 5, 2010
Amendment 7

Department	Funding Source (Fed/State)	Total Funding	Min. Percent Req. Direct Services	Percent Other Allowed	Individuals Served	Programs/Services Provided	Special Parameters
NMCD - Community Programming	Community Offender Management (Probation and Parole) - General Fund	\$3,526,600	97 percent \$3,420,802	3 percent \$105,798	Individuals under NMCD supervision in the community, either probation or parole, or discharging from prison or jail to community supervision.	Outpatient services for BH, Residential Substance Abuse programming, and life maintenance services	See General Fund Appropriations; NMCD Strategic Plan, Budget-based Performance Measures
	Community Corrections Fund - General Fund	\$2,355,300	97 percent \$2,284,641	3 percent \$70,659	Individuals under NMCD supervision in the community, either probation or parole, or discharging from prison or jail to community supervision.	Outpatient services for BH, Residential Substance Abuse programming, and life maintenance services	NMCD Strategic Plan, Budget-based Performance Measures; Community Corrections Statute: NMSA 33.9.1 - 33.9.10 (1989)
	TOTAL NMCD	\$5,881,900	\$5,705,443	\$176,457			
ALTSD	General Fund	\$56,133	100 percent \$56,133	0 percent	Persons age 55 and older	Provide individual and group peer counseling services. Such services shall be provided in home and community-based settings, including senior centers.	
	Transformation-State Incentive Grant - Federal Funds	\$7,000	100 percent \$7,000	0 percent	Persons age 55 and older	Build capacity for peer counseling services in rural Sandoval County through education, outreach and training to providers and community members.	Funds shall be paid to Outcomes, Inc. upon receipt of provider's invoice. Reporting contents and format shall follow the requirements in place for the prior year.
	TOTAL ALTSD	\$63,133	\$63,133	\$0			

APPENDIX xxx
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HSD Medicaid	Medicaid: Managed Care, Federal	\$215,180,000 ¹	86 percent \$185,054,800	14 percent \$30,125,200 ²	All Medicaid-eligible individuals enrolled in managed care	Medicaid Behavioral Health Services as identified in HSD/MAD regulations	Projected Amount. Final amounts depend on negotiated rates and actual number of enrolled individuals
	Medicaid: Managed Care, State	\$52,723,000 ¹	86 percent \$45,341,780	14 percent \$7,381,220 ²	All Medicaid-eligible individuals enrolled in managed care	Medicaid Behavioral Health Services as identified in HSD/MAD regulations	Projected Amount. Final amounts depend on negotiated rates and actual number of enrolled individuals
	Medicaid: Coordinated FFS, Federal & State	\$39,383,000 The projected State share is 22.9% (approximately \$9,025,000)	\$33,496,000	\$5,887,000 This amount is not based on a % of total available dollars, but is a negotiated per member per month fee that will vary by number of enrollees. The State/Federal split is 50/50.	All Medicaid-eligible individuals not enrolled in managed care	Medicaid Behavioral Health Services as identified in HSD/MAD regulations	Claims and administrative fees are projected amounts subject to variation based on enrollment and utilization trends. Claims for direct services are passed through to HSD for payment. The admin fee is a set amount per month per enrollee, therefore, the percentage of total will vary depending on the relationship between the number of enrollees and total claims paid.
	TOTAL MEDICAID	\$307,286,000	\$263,892,580	\$43,393,420			
HSD/BHSD	BHSD: General Fund Substance Abuse	\$14,106,412	88.2 percent = \$12,443,232	11.8 percent = \$1,663,180	Non-Medicaid-eligible adults (age 18+) who meet certain clinical and financial criteria	Substance Abuse Residential, Outpatient Svcs; Native American Svcs; Methamphetamine Treatment; Total Community Approach	Funds will be expended as directed by BHSD.
		\$2,820,192	92 percent = \$2,594,577	8 percent = \$225,615			
		\$16,926,604	\$15,037,809	\$1,888,795	SUBTOTAL BHSD SUBSTANCE ABUSE		

¹ Amounts are subject to final federal, state and other fund source appropriations, awards, approvals or final negotiated amounts.

² Unless otherwise specified, percentage available for non-direct services; can include operating costs as well as other non-direct costs such as operational activities, training, evaluation.

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BHSD: General Fund Mental Health	\$17,523,850	88 percent = \$15,425,304	12.0 percent = \$2,098,546		Mental Health Inpatient & Outpatient Services; Jail Diversion; School-Based MH Svcs; Supported Employment, Compulsive Gambling;	Funds will be expended as directed by BHSD.
	\$852,776	91.7 percent = \$782,009	8.3 percent = \$70,767		Psychotropic Medications;	
	\$1,146,689	92 percent = \$1,054,954	8 percent = \$91,735		Veterans BHS/PTSD	
	\$453,729	92 percent = \$417,431	8 percent = \$36,298		Expanded Mental Health	
	\$749,452	99.46 percent = \$745,435	.54 percent = \$4,017		Supportive Housing	
	\$20,726,496	\$18,425,133	\$2,301,363			
BHSD: Community MH Block Grant – Federal	\$1,418,868	100 percent \$1,418,868	0 percent		Mental Health Outpatient Services;	CMH Federal Block Grant requirements. Funds will be expended as directed by BHSD
BHSD: SAPT Block Grant – Federal	\$4,952,466	100 percent \$4,952,466	0 percent		Substance Abuse Residential & Outpatient Services;	SAPT Block Grant Federal Requirements. CMH Federal Block Grant requirements. Funds will be expended as directed by BHSD
BHSD: SAPT Block Grant – Prevention Federal	\$2,094,300	100 percent \$2,094,300	0 percent		Evidence-Based Prevention Programs	Must follow SAPT Block Grant Requirements. Funds will be expended as directed by BHSD

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BHSD: SAPT Block Grant – Prevention Federal	\$ 51,510	100 percent \$ 51,510	0 percent	Children, families and communities; Prevention: targeted to individuals, families and communities not in need of treatment services. Specific services 0 – 6 year olds; K – 6 th grade; 12 – 17 year olds; and targeted community services.	Evidence-Based Prevention Programs	Must follow SAPT Block Grant Requirements. Funds will be expended as directed by BHSD. This is a one-time FY 11 expenditure (resulting from unexpended FY 10 funds) for allocation to the Cornstalk Institute.
BHSD: Access to Recovery (ATR II)-Federal	\$963,500	92.6 percent \$891,875	7.4 percent \$71,625	Non-Medicaid-eligible adults (age 18+) who meet certain clinical and financial criteria	Voucher-based substance abuse treatment referral system	ATR federal requirements. Funds will be expended and invoiced as directed by BHSD. The administrative costs have a dedicated purpose to be detailed in LOD. The administrative costs will be comprised of \$17,000 for OHNM direct admin and \$54,625 for ATR Program Support.
BHSD: Access to Recovery (ATR II) no cost ext-Federal	\$407,501	78.16 percent \$318,501	21.84 percent \$89,000	Non-Medicaid-eligible adults (age 18+) who meet certain clinical and financial criteria	Voucher-based substance abuse treatment referral system	ATR federal requirements. Funds will be expended and invoiced as directed by BHSD. The administrative costs have a dedicated purpose to be detailed in LOD. The administrative costs will be comprised of \$4,000 for OHNM direct admin and \$85,000 for ATR Program Support.
BHSD: Access to Recovery (ATR III)-Federal	\$2,313,375	86.9 percent \$2,011,200	13.1 percent \$302,175	Non-Medicaid-eligible adults (age 18+) who meet certain clinical and financial criteria	Voucher-based substance abuse treatment referral system	ATR federal requirements. Funds will be expended and invoiced as directed by BHSD. The administrative costs have a dedicated purpose to be detailed in LOD. The administrative costs will be comprised of \$238,175 for OHNM direct admin and \$64,000 for ATR Program Support.

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BHSD: Jail Diversion Veteran's Fund	\$393,741	\$393,741	See special parameters	Individuals living in Sandoval, San Juan and McKinley Counties with preference to veterans and a focus on Native American Veterans.	BH treatment as a means for jail diversion	JDFV federal requirements. Funds will be expended as directed by BHSD in LOD. \$35,000 (8.2%) administrative costs to be paid from existing, unallocated state general funds, as directed.
BHSD: Data Infrastructure Grant (DIG)	\$12,750	\$12,750	0 percent		Collection of Collaborative client-level data and technical support for Federal reporting requirements.	DIG .Federal regulations. LOD Directive. Preapproval required for expenditure of funds.
BHSD: MH Transformation	\$502,016	\$502,016	See special parameters	Persons with mental illness or co-occurring disorders who are homeless or at risk homelessness; target veterans and Native Americans	Supportive Housing, Comprehensive Community Support Services, Consumer Operated Services	Federal regulations. Funds will be expended as directed by BHSD in LOD. \$30,500 (5.7%) admin costs will be paid from existing, unallocated state general funds, as directed.
TOTAL BHSD	\$13,110,027	\$12,647,227	\$462,800	SUBTOTAL BHSD FEDERAL FUNDS		
TOTAL BHSD	\$50,763,127	\$46,110,169	\$4,652,958			
General Fund	\$1,341,504	96.8 percent \$1,298,576	3.2 percent \$42,928	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Early Childhood/Infant Services & training \$1,198,576 for IMH services \$100,000 for IMH training	HB 2 - \$4
General Fund	\$2,395,543	96.8 percent \$2,318,886	3.2 percent \$76,657	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Shelter Care/Family Shelter Care	HB 2 - \$4
General Fund	\$689,916	96.8 percent \$667,839	3.2 percent \$22,077	CYFD and non- CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Safehouse Services	HB 2 - \$4
CYFD						

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General Fund -	\$475,000	96.8 percent \$459,800	3.2 percent \$15,200	Girls referred or approved by the Juvenile Justice Facilities Behavioral Health Director of CYFD or an appropriate designee	Gender specific services for girls involved in juvenile justice system	HB 2 - \$4
General Fund -	\$249,136	96.8 percent \$241,164	3.2 percent \$7,972	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Forensic Evaluations	HB 2 - \$4
General Fund -	\$574,930	96.8 percent \$556,532	3.2 percent \$18,398	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Respite Care	HB 2 - \$4
General Fund	\$287,465	96.8 percent \$278,266	3.2 percent \$9,199	CYFD and non-CYFD CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Transitional Living	HB 2 - \$4
General Fund	\$958,217	95.3 percent \$927,554	4.7 percent \$30,663	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Community-Based Wraparound Services/Case Management	HB 2 - \$4
General Fund	\$383,287	96.8 percent \$371,022	3.2 percent \$12,265	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Activity Therapy	HB 2 - \$4
General Fund	\$1,531,500	96.8 percent \$1,466,492	3.2 percent \$65,008	CYFD and non-CYFD involved/ referred youth (to age 21); those at risk of CYFD involvement	Medicaid eligible services for non-Medicaid eligible youth and non-Medicaid eligible support services and Flex services	HB 2 - \$4

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	General Fund	\$20,000	96.8 percent \$19,360	3.2 percent \$640	CYFD and non-CYFD CYFD and non-CYFD involved/referred youth (to age 21); those at risk of CYFD involvement	CYFD Support Services for Youth in Support of Housing	HB 2 - §4
	CMH Block Grant - Federal	\$360,000	100 percent \$360,000	0 percent 0	CYFD and non-CYFD involved/referred youth (to age 21); those at risk of CYFD involvement	Evidence-Based Programs and Training	
	System of Care Federal	\$500,000	95.2 percent \$476,000	4.8 percent \$24,000	CYFD and non-CYFD involved/referred youth (to age 21); those at risk of CYFD involvement	Provider services to 224 youth in Santa Clara Pueblo 100 in Albuquerque 100 in Silver City	SOC Federal regulations. LOD Directive
	TOTAL CYFD	\$9,766,498	\$9,441,491	\$325,007			
DOH	Strategic Prevention Framework	\$603,263	100 percent \$603,263	0 percent 0	Communities with a special focus on 15-24 year olds	Evidence-based environmental strategies.	NM Strategic Prevention Framework; SIG Terms and Conditions; funds available through 9/30/1010.
	TOTAL DOH	\$603,263	\$603,263	0			
	FUNDING TABLE GRAND TOTAL	\$374,363,921	\$325,816,079	\$48,547,842			